



# **Lake Macquarie City Council**

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# STRUCTURE AND FUNCTIONS OF COUNCIL

# **ABOUT LAKE MACQUARIE CITY**

Lake Macquarie is home to one of the largest coastal saltwater lakes in Australia, and has 32 kilometres of pristine coastline to the city's east and some of the most beautiful forests to the city's west.

The city's area of 757.2 square kilometres is made up of 90 diverse towns and villages. With the third largest regional population in NSW, Lake Macquarie provides a naturally beautiful, vibrant, progressive environment for families to grow, businesses to thrive and tourists to visit.

The Shire of Lake Macquarie was proclaimed as a municipality on 1 January 1977 and became a city on 7 September 1984.

## **BASIS OF CONSTITUTION**

Lake Macquarie City Council is constituted under the Local Government Act 1993.

# ORGANISATIONAL STRUCTURE AND RESOURCES

The Lake Macquarie local government area consists of three electoral areas, known as the North, East and West wards. Four Councillors are elected to each Ward, with the elected Councillors representing the Lake Macquarie community for a four-year term. The Mayor is elected by the community and serves a four-year term. A Deputy Mayor is elected among the Councillors and serves for a 12-month term.

#### **ROLE OF A COUNCILLOR**

The role of a Councillor is, as an elected person:

- to be active and contributing member of the governing body of Council
- to make considered and well informed decisions as a member of the governing body
- to participate in the development of the integrated planning and reporting framework
- to represent the collective interests of r esidents, ratepayers and the local community
- to facilitate communication between the local community and the governing body
- to uphold and represent accurately the policies and decisions of the governing body
- to make all reasonable efforts to acquire and maintain the skills necessary to perform the role of a Councillor.



## **ROLE OF THE MAYOR**

# The role of the Mayor is as follows:

- to be the leader of Council and a leader in the local community
- to advance community cohesion and promote civic awareness
- to be the principal member and spokesperson of the governing body, including representing the views of Council as to its local priorities
- to exercise, in cases of necessity, the policy making functions of the governing body of council between meetings of Council
- to preside at meetings of Council
- to ensure that meetings of Council are conducted efficiently, effectively and in accordance with the Act
- to ensure the timely development and adoption of the strategic plans, programs and policies of Council
- to promote the effective and consistent implementation of the strategic plans, programs and policies of Council

- to promote partnerships between Council and key stakeholders
- to advise, consult with and provide strategic direction to the Chief Executve Officer in relation to the implementation of the strategic plans and policies of Council
- in conjunction with the Chief Executve Officer to ensure adequate opportunities and mechanisms for engagement between Council and the local community
- to carry out the civic and ceremonial functions of the mayoral office
- to represent Council on regional organisations and at inter-governmental forums at regional, State and Commonwealth level
- in consultation with the Councillors, to lead performance appraisals of the Chief Executve Officer
- to exercise any other functions of Council that Council determines.

left: Lake Macquarie Mayor Adam Shultz

## **ROLE OF CHIEF EXECUTIVE OFFICER**

#### The role of the CEO is as follows:

- to conduct the day-to-day management of Council in accordance with the strategic plans, programs, strategies and policies of Council
- to implement, without undue delay, lawful decisions of Council
- to advise the Mayor and the governing body on the development and implementation of the strategic plans, programs, strategies and policies of Council
- to advise the Mayor and the governing body on the appropriate form of community consultation on the strategic plans, programs, strategies and policies of Council and other matters related to Council
- to prepare, in consultation with the Mayor and the governing body, Council's community strategic plan, community engagement strategy, resourcing strategy, delivery program, operational plan and annual report

- to ensure that the Mayor and Councillors are given timely information and advice and the administrative and professional support necessary to effectively discharge their functions
- to exercise any of the functions of Council that are delegated by Council to the Chief Executive Officer
- to appoint staff in accordance with the organisation structure determined under this Chapter and the resources approved by Council
- · to direct and dismiss staff
- to implement Council's workforce management strategy
- any other functions that are conferred or imposed on the Chief Executive Officer by or under the Local Government Act 1993 or any other Act.



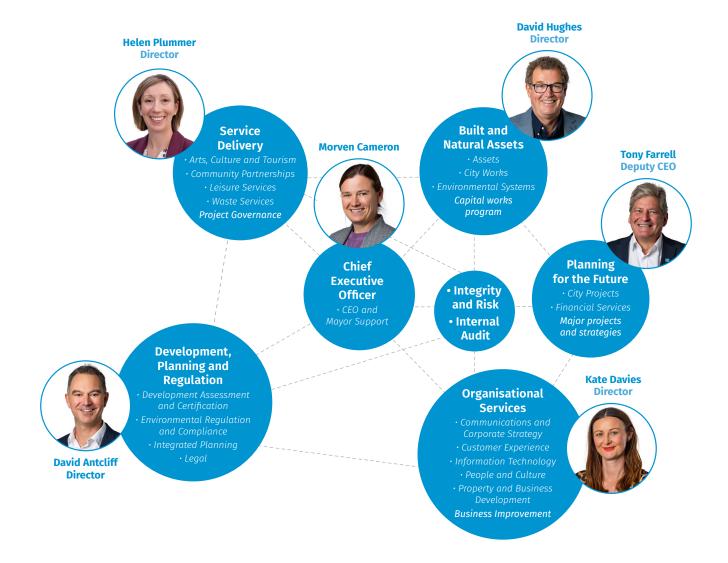
right: CEO of Lake Macquarie City Council, Morven Cameron

To assist the Chief Executive Officer in the exercise of these functions, there are five clusters of Council, plus CEO and Mayor Support.

#### These clusters are:

- Planning for the Future
- Service Delivery
- Built and Natural Assets
- Organisational Services
- Development, Planning and Regulation

## **ORGANISATIONAL STRUCTURE**



# **FUNCTIONS OF COUNCIL**

Under the Local Government Act 1993, Council's functions can be grouped into the following categories:

SERVICE FUNCTIONS	<ul> <li>Including:</li> <li>Provision of community health, recreation, education and information services</li> <li>Environmental protection</li> <li>Waste removal and disposal</li> <li>Land and property, industry and tourism development and assistance</li> </ul>	REVENUE FUNCTIONS	
REGULATORY FUNCTIONS	<ul> <li>Civil infrastructure and planning</li> <li>Civil infrastructure, maintenance and construction</li> <li>Including:</li> <li>Approvals</li> <li>Orders</li> </ul>	ADMINISTRATIVE FUNCTIONS	<ul> <li>Including:</li> <li>Employment of staff</li> <li>Management plans</li> <li>Finance reports</li> <li>Annual reports</li> </ul>
ANCILLARY FUNCTIONS	<ul> <li>Building Certificates</li> <li>Including:</li> <li>Resumption of land</li> <li>Powers of entry and inspection</li> </ul>	ENFORCEMENT FUNCTIONS	<ul> <li>Including:</li> <li>✓ Proceedings for breaches of the Local Government Act 1993 and other legislation</li> <li>✓ Prosecution of offences</li> <li>✓ Recovery of rates and charges</li> </ul>

# Section 21 of the *Local Government Act 1993* confers or imposes the following functions on Council:

- Non-regulatory or service functions (Chapter 6)
- Regulatory functions (Chapter 7)
- Ancillary functions (Chapter 8)
- Revenue functions (Chapter 15)
- Administrative functions (Chapters 11, 12 and 13)
- Enforcement functions (Chapters 16 and 17)

# In addition, Council has functions conferred or imposed on it by or under other Acts, which include:

- Biodiversity Conservation Act 2016
- Biosecurity Act 2015
- Building and Development Certifiers Act 2018
- Civil Liability Act 2002
- Community Land Development Act 2021
   planning functions as consent authority
- Companion Animals Act 1998 companion animal registration and control
- Contaminated Land Management Act 1997
   managing contaminated lands
- Conveyancing Act 1919 placing covenants on Council land
- Crown Land Management Act 2016
- Dividing Fences Act 1991
- Environmental Planning and Assessment Act 1979
- Firearms Act 1996
- Fire and Rescue NSW Act 1989 payment of contributions to fire brigade costs and furnishing of returns

- Food Act 2003 inspection of food and food premises
- Government Information (Public Access) Act 2009 – publication of certain information and granting of access to other information by Council
- Heritage Act 1977 rating based on heritage valuation
- Public Spaces (Unattended Property) Act 2021 impounding of animals and articles
- Library Act 1939 library services
- Local Government (General) Regulation 2021
- Modern Slavery Act 2018 (NSW)
- National Parks and Wildlife Act 1974
   protection of native wildlife
- Pesticides Act 1999 use of pesticides
- Pesticide Regulation 2017
- Plumbing and Drainage Act 2011
- Privacy and Personal Information Protection Act 1998 – standards and requirements with regard to the collection and processing of personal information
- Protection of the Environment Operations Act
   1997 pollution control
- Public Health Act 2010 inspection of systems for purposes of microbial control
- Public Interest Disclosures Act 2022 protected complaints or disclosures about maladministration, corruption, substantial waste or failure to fulfil functions under Government Information (Public Access) Act 2009

- Recreation Vehicles Act 1983 restricting use of recreation vehicles
- Road Transport Act 2013
- Roads Act 1993 Roads
- Smoke Free Environment Act 2000
- State Emergency Rescue Management Act 1989 –
   Council is required to prepare for emergencies
- State Emergency Service Act 1989 recommending appointment of local controller
- State Records Act 1998 imposes requirements for record keeping and access to Council records
- Strata Schemes Development Act 2015 approval of strata schemes
- Strata Schemes Management Act 2015
- Swimming Pools Act 1992 ensuring restriction of access to swimming pools
- Transport Administration Act 1988
- Unclaimed Money Act 1995 unclaimed money to be paid to the Chief Commissioner of Unclaimed Money
- Waste Avoidance and Resource Recovery Act 2001
- Water Management Act 2000
- WHS Regulation 2017
- Work Health and Safety Act 2011 requirements for healthy and safe practices in the work place
- Workplace Injury Management and Workers
   Compensation Act 1998 requirements for managing injury and return to work.



# IMPACT OF COUNCIL FUNCTIONS ON THE PUBLIC

As a service organisation, the majority of the activities of Lake Macquarie City Council have an impact on the public. The following is an outline of how the broad functions of Council affect the public.

## **SERVICE FUNCTIONS**

Service functions affect the public as Council provides services and facilities to the public. These include provision of human services such as:

- · child care services and libraries
- · halls and community centres
- recreation facilities
- · infrastructure, and
- · the removal of garbage.

#### REGULATORY FUNCTIONS

Regulatory functions place restrictions on developments and buildings to ensure that they meet certain requirements affecting the amenity of the community and not endanger the lives and safety of any person. Members of the public must be aware of, and comply with, such regulations.

# **ANCILLARY FUNCTIONS**

Ancillary functions affect only some members of the public. These functions include, for example, the resumption of land or the power for Council to enter onto a person's land. In these circumstances, only the owner of the property would be affected.

## **REVENUE FUNCTIONS**

Revenue functions affect the public directly in that revenue from rates and other charges paid by the public is used to fund services and facilities provided to the community.

#### **ADMINISTRATIVE FUNCTIONS**

Administrative functions do not necessarily affect the public directly but have an indirect impact on the community through the efficiency and effectiveness of the service provided.

#### **ENFORCEMENT FUNCTIONS**

Enforcement functions only affect those members of the public who are in breach of certain legislation. This includes matters such as the non-payment of rates and charges, unregistered dogs and parking offences.

# COMMUNITY PLANNING AND DEVELOPMENT FUNCTIONS

Community planning and development functions affect areas such as cultural development, social planning and community profile and involves:

- advocating and planning for the needs of our community. This includes initiating partnerships; participating on regional, State or Commonwealth working parties, and preparation and implementation of the Community Strategic Plan
- providing support to community and sporting organisations through provision of grants, training and information
- facilitating opportunities for people to participate in the life of the community through the conduct of a range of community events such as NAIDOC Week, Youth Week, Children's Week, as well as promoting events of others.

# PUBLIC PARTICIPATION IN LOCAL GOVERNMENT

Lake Macquarie City Council supports the principles of open government and encourages community involvement in policy development and general activities of Council.

Members of Lake Macquarie's community may participate in Lake Macquarie Council's policy development and general activities in two main ways; by making representations to Councillors; and through personal participation in Council events.

# REPRESENTATION COUNCILLORS AND WARDS

Lake Macquarie residents, who are 18 years of age or over, are eligible to enrol through the State Electoral Office and vote in the local government elections. Property owners who live outside of the local government area and rate paying lessees can also vote, but must register their intention to vote on the non-residential roll. Voting is compulsory.

Residents elect a Mayor and four councillors to represent their respective ward for a four-year term. Lake Macquarie is divided into three wards: North, East and West.

The next Council election will be held in September 2027.

# MAKING REPRESENTATION TO COUNCILLORS

Residents are able to contact their elected Councillors to raise any issues and concerns they have that affect Lake Macquarie. The elected Councillors are able to pursue a matter on the resident's behalf thus allowing members of the public to influence policy development.

# THE CONTACT DETAILS OF THE CURRENT ELECTED COUNCILLORS ARE:



**CR BRIAN ADAMTHWAITE** (North Ward)

- **433** 0417 061 433
- badamthwaite@lakemac.nsw.gov.au



**CR JASON PAULING** (West Ward)

**■** ashultz@lakemac.nsw.gov.au

**MAYOR OF LAKE MACQUARIE** 

**\** 0417 416 577

ADAM SHULTZ

**\** 0429 931 044

**☑** jpauling@lakemac.nsw.gov.au



**CR JACK ANTCLIFF** (North Ward) **DEPUTY MAYOR** 

- **\** 0407 062 987
- **■** jantcliff@lakemac.nsw.gov.au



**CR ANTHONY SWINSBURG** (West Ward)

- **\** 0475 845 344
- **■** aswinsburg@lakemac.nsw.gov.au



**CR COLIN GRIGG** (North Ward)

- **\** 0429 908 747
- cgrigg@lakemac.nsw.gov.au



**CR CHRISTINE BUCKLEY** (East Ward)

- **\** 0428 546 549
- cbuckley@lakemac.nsw.gov.au



**CR KEARA CONROY** (North Ward)

- **485** 0407 134 485
- kconroy@lakemac.nsw.gov.au



**CR MATT SCHULTZ** (East Ward)

- **\** 0408 757 764
- mschultz@lakemac.nsw.gov.au



**CR MADELINE BISHOP** (West Ward)

- **\** 0407 157 901
- mbishop@lakemac.nsw.gov.au



**CR STACEY RADCLIFFE** (East Ward)

- **\** 0427 704 316
- **S** sradcliffe@lakemac.nsw.gov.au



**CR KATE WARNER** (West Ward)

- **\** 0407 132 022
- kwarner@lakemac.nsw.gov.au



**CR MICHAEL HANNAH** (East Ward)

- **\** 0400 058 920
- michaelhannah@lakemac.nsw.gov.au

## PERSONAL PARTICIPATION

Lake Macquarie City Council seeks to be accessible and accountable to its community by encouraging ratepayers, residents and businesses to actively participate in the decision-making processes and activities of Council. Members of the community are able to access government information, vote in polls and referendums, make submissions to Council, and attend public Council meetings.

Council's website at lakemac.com.au is regularly updated with information on Council's activities, meeting agendas and business papers. The website also publishes notices and exhibitions, tenders, news items, events and many Council documents and reports. The website is reviewed and updated on a regular basis to ensure information is accurate and timely.

Council also provides information and connects with the community on Council activities, decisions, and programs on our social media platforms:

- Facebook
- Instagram
- X
- YouTube
- LinkedIn

## **COUNCIL MEETINGS**

Councillors make decisions on behalf of the Lake Macquarie community at Council meetings. A meeting agenda is made publicly available prior to each meeting. Shortly after each meeting, the minutes and any other relevant documentation are published on the website.

Councillors, staff and members of the public are able to attend Council meetings in person. Members of the public are able to attend Council meetings held in the Council Chambers at 126-138 Main Road, Speers Point

To support open, accessible and transparent government, the Council meetings of Lake Macquarie City Council are streamed live on Council's website. This service allows greater access to Council proceedings, decisions and debate and eliminates barriers that prevent some people from attending our meetings. Any part of the meeting that is held in closed session will not be streamed.

The public can access live webcasts of Council meetings, view archived meetings and view agendas and minutes on Council's website at Council meetings

Meetings are run in accordance with Council's Code of Meeting Practice.

#### **PUBLIC FORUM**

Public Forums are held before Committee and Council meetings. Members of the public may apply to address Council at a public forum. Public Forum allows members of our community to voice their opinion on a matter at Council. More information is available on Council's website at Apply to address Council at a meeting.

The Chief Executive Officer grants requests to address Council at a public forum. Public forum addresses are to be four minutes in length, with a maximum of four speakers per public forum. Councillors and staff may question the speaker following completion of the address.

## **COUNCIL COMMITTEES**

Council committees are a way for the community to provide input on a range of issues relevant to our work. Council Committees with active community representation include:

# Lake Macquarie Coastal Zone Management Committee

Provides guidance and assistance to Council on coastal,estuary and floodplain management, in accordancewith the NSW Coastal Management Manual and NSW Flood Risk Management Manual

# **Heritage Grants Committee**

Recommends allocation of Local Heritage funding to projects that have the greatest benefit to the protection of heritage in Lake Macquarie City.

The committee also provides advice on a range of heritage-related matters which are of interest to the community and initiatives to advance the Arts, Heritage and Cultural Plan 2017-2027.

# **Community Environmental Grants Committee**

Recommends allocation of Community Environment Grants funding in accordance with Council's Sustainability Policy, the Environmental Sustainability Strategy and Action Plan and other associated plans and strategies.

# **Access and Inclusion Advisory Panel**

Represents interests of older people, carers, and people with disability to ensure equity of access to services and facilities for all residents.

# **Lake Macquarie Youth Council**

Acts as a voice for young people and provides advice to Council and stakeholders from the perspective of young people in Lake Macquarie City. Assists Council to deliver annual Youth Week funding and events.

#### **Cultural Collections Consultative Committee**

Supports the delivery of the Lake Macquarie City Council Cultural Collections Strategy by representing the interests of the community and arts and cultural industry.

# **Aquatic Services Committee**

Advises Council about foreshore aquatic facilities and discusses issues relating to lake usage. Method of consultation between Council, government departments and groups who are directly involved in the use and wellbeing of Lake Macquarie and its estuaries.

#### **Lake Mac Awards Committee**

Selects award recipients for the Lake Mac Awards program on behalf of Council and assists with the promotion, management and objectives of the awards program.

# Lake Macquarie Business Excellence Awards Committee

Selects award recipients for the Lake Macquarie Business Excellence Awards program on behalf of the city and assists with the promotion, management and objectives of the awards program.

Winners of several categories of the Lake Macquarie Business Excellence Awards progress to the Business Hunter Awards. Winners of several categories of the Business Hunter Awards progress to the Business NSW Awards

If you'd like to know more about these committees, please contact <a href="mailto:council@lakemac.nsw.gov.au">council@lakemac.nsw.gov.au</a>

# AUDIT RISK AND IMPROVEMENT COMMITTEE

ARIC is an independent advisory committee, which provides advice to the CEO. Further reporting to the Mayor and Council provides a greater assurance over Council's governances processes. In particular, the ARIC has oversight of financial reporting, internal and external audit, risk management, performance improvement activities and any other relevant items, such as responses to special reports by external agencies.

#### SHAPE LAKE MAC

Shape Lake Mac is Council's community engagement portal. It's a space where the public can have a say on issues and topics that are important to them, and a convenient way to keep up to date and contribute to issues affecting the local community.

#### COMMUNITY PARTICIPATION PLAN

The NSW Government requires all councils in NSW to prepare a Community Participation Plan to set out how and when we will engage with our community on the planning functions Council performs under the Environmental Planning and Assessment Act 1979.

The Community Participation Plan sets out how the community can participate in the planning system; Council's functions and different types of proposals; and the community participation objectives that guide our approach to community participation.

## **COMMUNITY STRATEGIC PLAN 2022-2032**

Our Community Strategic Plan 2022-2032 guides the future direction of Lake Macquarie City for the next 10 years, and shares the vision and aspirations for the future of Lake Macquarie and sets out the community's long-term plan.

The Plan is Council's key strategic planning document and was developed in collaboration with our community and maps out the strategies and actions we will take to achieve our community's long-term vision for the City.

The Plan is reviewed every four years, following an election.

# **COMMUNITY ENGAGEMENT STRATEGY**

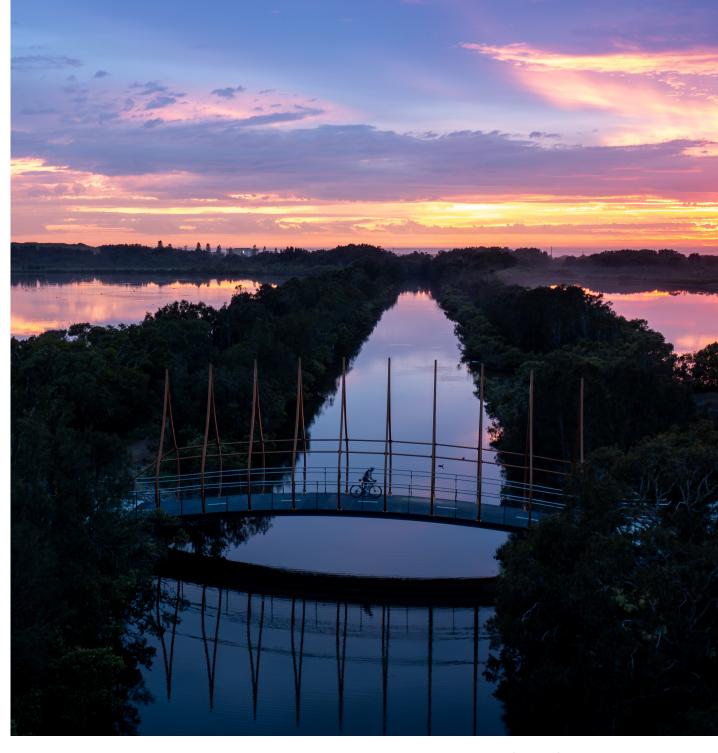
The Community Engagement Strategy outlines our approach to engaging with the community and stakeholders. It provides transparency and clarity for all stakeholders so they can understand their role in the decision-making process and outlines who, when and how we will engage on plans and policies. The current Community Engagement Strategy ends in June 2024, with a new strategy expected to be adopted in early 2025.

# **PUBLIC SUBMISSIONS**

All significant plans, strategies and policies of Lake Macquarie City Council are placed on exhibition in draft form so that interested members of the public may view them and make comments. Information on public exhibitions can be found at <a href="mailto:shape.lakemac.com.au">shape.lakemac.com.au</a> and/or lakemac.com.au/our-Council/Public-notices.

Submissions can be emailed to <u>council@lakemac.nsw.gov.au</u> or posted to Box 1906, Hunter Region Mail Centre NSW 2310, or online submissions can be made via <u>shape.lakemac.com.au</u>.

Copies of comments/submissions received by Council will be released upon request without the need for an application under the *Government Information (Public Access) Act 2009*.



# ACCESS TO GOVERNMENT INFORMATION

Lake Macquarie City Council is committed to open and transparent government through the timely release of Council held information that is of interest to our community.

Under the Government Information (Public Access) Act 2009 (GIPA Act), members of the public have a right to access certain information held by Council except in instances where there is an overriding public interest against disclosure.

Lake Macquarie City Council holds various types of information concerning a wide range of Council functions and issues relating to the Lake Macquarie Local Government Area. Council information is primarily created, stored, and accessed digitally with some older physical records maintained in off-site storage.

There are four main ways in which Council may provide access to information under the GIPA Act:

# **Mandatory Release**

Council is required to publish specific open access information on the website, free of charge. This includes a register of government contracts, policies, Council meeting agendas and minutes, annual reports and annual financial statements.

#### **Proactive Release**

Council makes as much Council information as possible publicly available on its website. For example, frequently requested information or information of public interest is made readily available. The information is free of charge.

#### **Informal Release**

Council is authorised to release other information in response to an informal request, subject to any reasonable conditions we impose. For example, such information could include requests for personal information by the individual concerned.

#### **Formal Release**

In some circumstances, information is not readily available and will require a formal access application. Applications for information made under the GIPA Act are processed in accordance with the Act's requirements.

Determinations made to release or refuse access to information are based on the public interest considerations listed under the GIPA Act.

Some Council information is available for inspection as a requirement of certain legislation and some documents are available for purchase or free of charge.

Other Acts may also be taken into account in conjunction with the GIPA Act when determining access applications, including:

- Companion Animals Act 1998
- Environmental Planning and Assessment Act 1979
- Local Government Act 1993
- Health Records and Information Privacy Act 2002
- Privacy and Personal Information Protection Act 1998
- State Records Act 1998.

Any other relevant legislation and guidelines must also be considered where applicable.

# MANDATORY PROACTIVE RELEASE – OPEN ACCESS INFORMATION

Under Section 18 of the Government Information (Public Access) Act 2009 (GIPA Act), Council must make its "open access information" publicly available unless there is an overriding public interest against disclosure. Open access information is required to be published on Council's website and to be made freely available for inspection and copying at Council (with reasonable photocopying charges applying), unless to do so would impose an unreasonable additional cost to Council, or would be contrary to the public interest or the Information Commissioner's guidelines.

The following documents are defined as "Open Access Information" under Section 18 of the GIPA Act and will be released without the need for a formal access application under this Act:

- Council's Agency Information Guide
- documents tabled in Parliament on behalf of Council
- Council's policy documents
- Council's disclosure log of access applications
- register of government contracts
- general details of unpublished open access information
- information about Council
- city strategies, plans and reporting

Schedule 1 of the GIPA Act requires that the following documents to be provided as open access information by Council:

# **Reports**

- Annual Reports and Financial Statements and Auditor's Reports
- Annual reports of bodies exercising functions delegated by the local authority
- Departmental representative reports
   presented at a meeting of the local authority
   in accordance with Section 433 of the Local
   Government Act 1993 (the LG Act).

#### **Plans**

- Diversity, Equity, and Belonging Strategy 2023-2025
- · Plan of Management for Community Land
- Environmental planning instruments, development control plans and contributions plans made under the Environmental Planning and Assessment Act 1979 applying to land within the local authority's area.

# Meetings

- Agendas and business papers for any meeting of the local authority or any committee of the local authority (but not including business papers for matters considered when part of a meeting is closed to the public)
- Minutes of any meeting of the local authority or any committee of the local authority, but restricted (in the case of any part of a meeting that is closed to the public) to the resolutions and recommendations of the meeting.

## Codes and policies

- The Model code of conduct prescribed under Section 440 (1) of the LG Act
- The Code of Conduct for Council Staff and the Code of Conduct for Councillors adopted under Section 440 (3) of the LG Act
- Code of Meeting Practice
- Policy concerning the payment of expenses incurred by, and the provision of facilities to, councillors any codes referred to in the LG Act
- Local policies adopted by the local authority concerning approvals and orders

# **Registers**

- Land register
- · Register of investments
- Register of delegations
- Register of graffiti removal work kept in accordance with Section 13 of the Graffiti Control Act 2008
- Register of current declarations of disclosures of political donations kept in accordance with Section 238A of the LG Act
- Register of voting on planning matters kept in accordance with Section 375A of the LG Act
- Register of Pecuniary Interests



## Land, building and development information

Council's Application Enquiry tool displays documents regarding development applications and related certificates submitted from 1 January 2005. The information is made available under the provisions of the Government Information (Public Access) Act 2009 (the GIPA Act).

Information contained in the following records is prescribed as open access information:

- Applications for approvals under Part 1 of Chapter 7 of the LG Act and any associated documents received in relation to such an application
- Applications for approvals under any other Act and any associated documents received in relation to such an application
- Records of approvals granted or refused, any variation from local policies with reasons for the variation, and decisions made on appeals concerning approvals
- Records of building certificates under the Environmental Planning and Assessment Act 1979
- Plans of land proposed to be compulsorily acquired by the local authority
- Compulsory acquisition notices leases and licences for use of public land classified as community land

Development application information that will not be publicly available:

 The internal floor plans and specifications for any residential part of a proposed building, other than plans that merely show its height and its

- external configuration in relation to the site on which it is proposed to be erected.
- Commercial information, if the information would likely to prejudice the commercial position of the person who supplied it or to reveal a trade secret.

Personal information of third parties, Aboriginal heritage reports, survey reports or internal floor plans are not published on the web and can be formally requested under the GIPA Act.

#### Orders

- Orders given under Part 2 of Chapter 7 of the LG Act (for example, unauthorised structures and buildings, swimming pools, excess vegetation and food matters) and any reasons given under Section 136 of the LG Act
- Orders given under the authority of any other Act

#### **INFORMAL RELEASE**

Access to information that is not available as Mandatory Release or Authorised Proactive Release may be provided through Informal Release.

The Government Information (Public Access) Act 2009 (the GIPA Act) provides for members of the public to apply for the informal release of government information, subject to any reasonable conditions that Council deems fit to impose, or in instances where there is an overriding public interest against disclosure.

Applications should be made to Council by submitting an informal access request via <a href="mailto:gipagroup@lakemac.nsw.gov.au">gipagroup@lakemac.nsw.gov.au</a>.

In instances where informal access requests involve personal or sensitive information or the need to consult with a third party, it is likely that Council will require the submission of a Formal Access application.

Council has the right to decide by what means information is to be released in response to an informal access request and may redact content from the information released if there is an overriding public interest against disclosure. Please note that the GIPA Act does not stipulate a timeframe by which informal access requests are to be decided.

Formal Access applications take priority as they are required to be completed under strict legislative timeframes.

#### FORMAL ACCESS

Prior to lodging a formal access application, a person seeking information from Council should check if the information being sought is already available on Council's website or could easily be made available through an informal request.

The GIPA Act provides for members of the public to apply for access to information formally in instances where:

- the information is not available for release through Proactive or Informal Release
- an Informal Access request involves a large volume of information; requires extensive research or is stored in archives thereby constituting an unreasonable amount of time and resources to produce
- personal or confidential information about a third party is requested
- the information requested is of a sensitive nature that requires careful weighing of the considerations in favour of and against disclosure.

If one or more of the above criteria is met, Council requires a Formal Access to Information Application to be submitted.

Applications are to be made to Council by submitting a Formal Access Application available on Council's website. The prescribed GIPA application fee of \$30 must be paid at the time of lodgement.

An application will be invalid if it seeks access to

excluded information of Council or does not meet the requirements for a Formal Access application. An application must:

- · be in writing
- · specify it is made under the GIPA Act
- state the name of the applicant and postal or email address
- be accompanied by the \$30 fee;
- provide sufficient detail to enable Council to identify the information that is being requested.

Council must acknowledge the receipt of a valid application by responding to applicants within five working days.

# TIMEFRAME FOR PROCESSING APPLICATIONS

Council has a responsibility to process an application as soon as possible and provide you with a decision within 20 working days after the application is received. This period may be extended further by 15 working days if special circumstances apply, such as the need to consult with a third party or when records need to be retrieved from archives.

If an application concerns the personal or business affairs of a third party, Council is required to take reasonable steps to consult with the third party to obtain their views. When making a decision Council must take into account any views offered by the third party.



# **DECISIONS**

There are several decisions that can be made in response to a formal application under the GIPA Act:

- provide access to the information
- the information is not held by the agency
- the information is already available to the applicant
- refuse to provide access to the information because there is an overriding public interest against disclosure of the information
- refuse to deal with the application (see section 60 of the GIPA act)
- refuse to confirm or deny that information is held by the agency because there is an overriding public interest against disclosure of information confirming or denying that fact.

More than one decision can be made in respect of a particular access application, in order to deal with the various items of information applied for.

Decisions made regarding formal access may be appealed internally at Council or externally through the Information and Privacy Commission NSW or the NSW Civil or Administrative Tribunal (NCAT). The internal review fee is \$40.

When information of potential interest to other members of the public is released to an applicant under formal access, Council may provide details of the information through a disclosure log on its website, available for public inspection.

#### **FEES AND CHARGES**

The Government Information (Public Access) Regulation 2018 (the GIPA Act) requires that Open Access information held by Council, is to be made publicly available for inspection, free of charge. The public is entitled to inspect these documents on Council's website (unless there is an unreasonable additional cost to Council to publish these documents on the website) and at the offices of the Council during ordinary office hours or at any other place as determined by the Council.

Any current and previous documents of this type may be inspected by the public free of charge. Copies can be supplied for reasonable copying charges as set out in Council's Schedule of Fees and Charges.

The Formal Access application fee is \$30 and covers the first hour of processing. Each subsequent hour spent processing an application will be charged at \$30 per hour. Concession cardholders, pensioners, not for profit organisations, and individuals facing financial hardship may apply to receive a 50 per cent reduction of the hourly processing charge.

If the information requested is of special benefit to the public, applicants may be entitled to a 50 per cent reduction of the hourly processing fee. Applicants will be required to pay up to 50 per cent of the expected processing charge in advance. This request from Council must be in writing and the applicant is given four weeks to pay. Where applicants seek to access their own personal information, the first 20 hours of processing charges are free. The fee imposed by Council for Formal Access applications is statutory and does not cover the actual costs to Council.

# ACCESS AND AMENDMENT TO COUNCIL DOCUMENTS

As mentioned previously, Council has a vast range of documents that can be accessed in varying ways. Most documents can be inspected at and obtained from our Customer Service Centre between the hours of 9am and 4:30pm, Monday to Friday (except public holidays). Our Customer Service Centre can be contacted on 02 4921 0333 or council@lakemac.nsw.gov.au for further enquiries regarding documents that are accessed. If you experience any difficulty in obtaining documents or information, you should contact the Public Officer.

#### **PUBLIC OFFICER**

Each Council must appoint a Public Officer as directed by the *Local Government Act* 1993.

#### The Public Officer:

- may deal with requests from the public concerning the Council's affairs
- has the responsibility of assisting people to gain access to public documents
- · may receive submission made to Council
- may accept service of documents on behalf of Council
- may represent Council in any legal or other proceedings
- carries out other functions as directed by the Chief Executive Officer of Council or by or under the Local Government Act 1993.

The Public Officer is able to assist members of the public who have difficulty in obtaining access to Council information, and to those wishing to amend Council information that they feel is incorrect.

## **ACCESS TO INFORMATION OFFICERS**

Council's Access to Information Officers are responsible for processing Informal and Formal Access requests and for determining what information is disclosed and withheld in response to access applications as directed by the GIPA Act and other relevant legislation.

For further information regarding access to information please contact Council:

02 4921 0333 or email gipagroup@lakemac.nsw.gov.au

The NSW Information and Privacy Commission can be contacted using the following details:

Free call 1800 472 679 ipcinfo@ipc.nsw.gov.au ipc.nsw.gov.au

Public Officer

Enquiries should be made in writing and addressed to:

Lake Macquarie City Council Box 1906, Hunter Regional Mail Centre, NSW, 2310 Email: council@lakemac.nsw.gov.au

